

# SEPARABLE BANACH SPACES WHICH ADMIT $l_n^\infty$ APPROXIMATIONS

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## ABSTRACT

In this paper we study a class of separable Banach spaces which can be approximated by certain special finite-dimensional subspaces. This class is characterized in Theorem 1.1, from which it follows that the space of continuous scalar-valued functions on a compact metric space always belongs to this class, and that every member of this class has a monotone basis.

**1. Introduction.** In several recent papers [7, p. 25], [2], [5], [8], the concept of a  $\pi_1$ -space has been found useful: A Banach space  $B$  is a  $\pi_1$ -space if it contains a directed (by inclusion) family  $(E_\alpha)_{\alpha \in A}$  of finite dimensional subspaces, whose union is dense in  $B$ , such that each  $E_\alpha$  is the range of a projection of norm one from  $B$ . It is rather easy to show that  $L^p$ -spaces ( $1 \leq p < +\infty$ ) are  $\pi_1$ -spaces. The problem becomes more difficult for the space  $C(S)$  of all continuous scalar (i.e. real or complex) valued functions on a compact Hausdorff space  $S$ , and there it is only solved (affirmatively), as far as we know, for metrizable  $S$  (cf. [9]).

If  $C(S)$  is a  $\pi_1$ -space, then (cf. the footnote on p. 197) each of the spaces  $E_\alpha$  appearing in the definition of a  $\pi_1$ -space is isometrically isomorphic to some  $l_{n(\alpha)}^\infty$ , where  $l_n^\infty$  denotes the space of  $n$ -tuples of scalars with norm  $\|x\| = \max_{1 \leq i \leq n} |x(i)|$ . This suggests the following concept.

**DEFINITION.** A Banach space  $B$  is a  $\pi_1^\infty$ -space provided  $B$  has a directed (by inclusion) family of subspaces  $(E_\alpha)_{\alpha \in A}$ , whose union is dense in  $B$ , such that each  $E_\alpha$  is isometrically isomorphic to some  $l_{n(\alpha)}^\infty$ .

It is well known that there always exists a projection from a Banach space onto any subspace which is isometrically isomorphic to some  $l_n^\infty$  (see Lemma 2.1 for a proof). Hence every  $\pi_1^\infty$ -space is a  $\pi_1$ -space. However, there are  $\pi_1$ -spaces which are not  $\pi_1^\infty$ -spaces, such as any Hilbert space (of dimension  $> 1$ ). In fact, every infinite-dimensional  $\pi_1^\infty$ -space is non-reflexive [7; p. 66 Corollary 1, and Theorem 6.1 (2)].

Our principal result asserts that, for separable Banach spaces, the property of being a  $\pi_1^\infty$ -space is equivalent to a property ( $a^\infty$ ) which is formally weaker

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and much easier to verify. (If  $z \in B$  and  $E \subset B$ , then  $d(z, E)$  will denote  $\inf_{e \in E} \|z - e\|$ ).

( $a^\infty$ ) If  $Z$  is a finite subset of  $B$  and  $\eta > 0$ , then there is an integer  $n$  and a linear map  $T: l_n^\infty \rightarrow B$  such that  $d(z, Tl_n^\infty) < \eta$  for  $z \in Z$ , and

$$(1 + \eta)^{-1} \|x\| \leq \|Tx\| \leq (1 + \eta) \|x\|$$

for  $x \in l_n^\infty$ .

**THEOREM 1.1.** *Let  $B$  be a separable Banach space. Then the following conditions are equivalent.*

- (i)  $B$  has property ( $a^\infty$ ).
- (ii)  $B$  is a  $\pi_1^\infty$ -space.
- (iii)  $B$  has an increasing sequence of subspaces  $E_1 \subset E_2 \subset \dots$ , whose union is dense in  $B$ , such that each  $E_n$  is isometrically isomorphic to  $l_n^\infty$ .

This result easily implies (cf. section 6).

**COROLLARY 1.2.** *Every separable  $\pi_1^\infty$ -space has a monotone basis.*

Corollary 1.2 improves a result of Gurariĭ [6, Theorem 8], who proved that a separable Banach space with property ( $a^\infty$ ) has a basis(\*).

Using the standard technique of "peaked partitions of unity" (see Section 5), one can easily verify that  $C(S)$  has property ( $a^\infty$ ) for arbitrary compact  $S$  (cf. [7, pp. 28-29], [9], [11]). Therefore Theorem 1.1 gives an alternative proof of the result [9] that, if  $S$  is compact metric, then  $C(S)$  is a  $\pi_1^\infty$ -space. Combining this result with Corollary 1.2 we get the following corollary, which was asserted by Gurariĭ in [6; footnote on p. 298], and which strengthens some results of Vaher [12] and Bessaga [1].

**COROLLARY 1.3.** *If  $S$  is compact metric, then  $C(S)$  has a monotone basis.*

In conclusion, let us list, without proof, some further examples of  $\pi_1^\infty$ -spaces:

The space  $C_0(S) = \{f \in C(S) : f(x_0) = 0\}$ , with  $S$  compact metric and  $x_0 \in S$ .

The spaces  $C_\alpha(K)$ , with  $K$  compact metric, of Day [3, p. 89].

The weak tensor product (cf. [3, p. 651]) of any two  $\pi_1^\infty$ -spaces.

**2. Preliminaries.** We commit the notational abuse of writing  $i \in n$  instead of  $i = 1, 2, \dots, n$ . If  $x = (x(i))_{i \in n} \in l_n^\infty$ , then

$$N(x) = \{i \in n : |x(i)| = \|x\|\}.$$

By  $u_k^{(n)}$  we denote the  $k$ -th unit vector of  $l_n^\infty$ , i.e.  $u_k^{(n)}(i) = \delta_k^i$  ( $i \in n, k \in n$ ).

In the sequel we shall need the following three lemmas. Lemma 2.2 follows from [4, p. 74, problem 34]. Lemmas 2.1 and 2.3 are probably also known, but we include their proofs for completeness.

(\*) Actually, Gurariĭ assumed that  $B$  has a property (" $B$  is a space of class  $\mathfrak{C}$ ") which is formally stronger than ( $a^\infty$ ). In fact, his property is equivalent to ( $a^\infty$ ) (cf. [7, p. 22]).

LEMMA 2.1. Let  $R$  be a linear map from  $l_n^\infty$  into a Banach space  $B$ , and let  $R$  have a bounded inverse  $R^{-1}$ . Then there exists a projection  $P$  from  $B$  onto  $Rl_n^\infty$  with  $\|P\| \leq \|R\| \|R^{-1}\|$ . In particular, if  $R$  is an isometry, then  $\|P\| = 1$ .

**Proof.** By the Hahn-Banach theorem, there exist linear functionals  $\phi_i$  on  $B$  ( $i = 1, \dots, n$ ) such that  $\phi_i(y) = (R^{-1}y)(i)$  for all  $y \in Rl_n^\infty$ , and  $\|\phi_i\| \leq \|R^{-1}\|$ . Now let  $Px = \sum_{i \in n} \phi_i(x)Ru_i^{(n)}$  for all  $x \in B$ . Then  $P$  is the required projection.

Let  $T: l_m^\infty \rightarrow l_n^\infty$  be a linear map, and let  $e_j = Tu_j^{(m)}$  for  $j \in m$ .

LEMMA 2.2.  $\|T\| = \max_{i \in n} |\sum_{j \in m} e_j(i)|$ .

LEMMA 2.3.  $T$  is an isometric embedding if and only if

- (a)  $\sum_{j \in m} |e_j(i)| \leq 1$  for  $i \in m$ ,
- (b)  $\|e_j\| = 1$  for  $j \in m$ ,
- (c) if  $i \in N(e_j)$  and  $s \neq j$ , then  $e_s(i) = 0$  ( $s \in m, j \in m$ ).

**Proof.** Necessity: The necessity of (a) (by Lemma 2.2) and (b) is trivial. If  $T$  is an isometry,  $i \in N(e_j)$  and  $s \neq j$ , then, choosing  $\lambda$  such that  $|\lambda| = 1$  and

$$|e_j(i) + \lambda e_s(i)| = |e_j(i)| + |\lambda e_s(i)| = 1 + |e_s(i)|,$$

we get  $1 = \|e_j + \lambda e_s\| \geq 1 + |e_s(i)|$ . Hence  $e_s(i) = 0$ .

Sufficiency: Choose  $i_v \in N(e_v)$  for  $v \in m$ . Let  $x = \sum t_j u_j^{(m)} \in l_m^\infty$ . By (a) and Lemma 2.2,  $\|Tx\| \leq \|x\|$ . On the other hand,

$$\|Tx\| = \max_{i \in n} |\sum_{j \in m} t_j e_j(i)| \geq \max_{v \in m} |\sum_{j \in m} t_j e_j(i_v)| = \max_{v \in m} |t_v| = \|x\|,$$

because, by (b) and (c),  $|\sum_{j \in m} t_j e_j(i_v)| = |t_v|$  for  $v \in m$ . Thus  $\|Tx\| = \|x\|$  for  $x \in l_m^\infty$ .

### 3. Isometric and almost isometric subspaces of $l_n^\infty$ .

LEMMA 3.1. Let  $0 < \eta < 1$ . Then, for each linear map  $T: l_m^\infty \rightarrow l_n^\infty$  such that

$$(\star) \quad \|x\| \leq \|Tx\| \leq (1 + \eta)\|x\| \quad \text{for } x \in l_m^\infty,$$

there exists a linear isometric embedding  $S: l_m^\infty \rightarrow l_n^\infty$  such that  $\|S - T\| < \eta$ .

**Proof.** For each  $j \in m$ , let  $f_j = Tu_j^{(m)}$  and

$$N_j = \{i \in n: |f_j(i)| \geq 1\}.$$

By the left-hand side of  $(\star)$ ,  $1 = \|u_j^{(m)}\| \leq \|f_j\|$ . Therefore for each  $j \in m$  there is an  $i_j \in n$  such that  $|f_j(i_j)| = \|f_j\| \geq 1$ . Thus the sets  $N_j$  are non-empty. They are also mutually disjoint: Indeed, by the right-hand side of  $(\star)$  and by Lemma 2.2,

$$1 + \eta \geq \|T\| \geq |\sum_{j \in m} |f_j(i)| \quad \text{for } i \in n.$$

Thus if  $s \neq j$  ( $s \in m, j \in m$ ), and  $i \in N_j$  (or equivalently  $|f_j(i)| \geq 1$ ), then

$$|f_s(i)| \leq 1 + \eta - |f_j(i)| \leq \eta < 1.$$

Hence  $i \notin N_s$ .

For all  $j \in m$  and  $i \in n$ , let

$$e_j(i) = \begin{cases} f_j(i) |f_j(i)|^{-1} & \text{if } i \in N_j, \\ 0 & \text{if } i \in N_s \text{ for } s \neq j \quad (s \in m), \\ f_j(i)(1 + \eta)^{-1} & \text{otherwise.} \end{cases}$$

Clearly  $\sum_{j \in m} |e_j(i)| \leq 1$  for  $i \in n$ ,  $\|e_j\| = 1$  for  $j \in m$  and the sets  $N(e_j) = N_j$  satisfy condition (c) of Lemma 2.3.

Define the linear map  $S: l_m^\infty \rightarrow l_n^\infty$  by

$$Sx = \sum_{j \in m} x(j)e_j.$$

Clearly  $S$  is an isometric embedding. Since  $Tu_j^{(m)} = f_j$  and  $Su_j^{(m)} = e_j$ , the inequality  $\|T - S\| < \eta$  will follow from

$$\sum_{j \in m} |f_j(i) - e_j(i)| < \eta \quad \text{for } i \in n.$$

We consider two cases. If  $i \notin \bigcup_{s \in m} N_s$ , then

$$\sum_{j \in m} |f_j(i) - e_j(i)| = \sum_{j \in m} |f_j(i)| \frac{\eta}{1 + \eta} < \eta.$$

If  $i \in N_s$  for some  $s \in m$ , then

$$\sum_{j \in m} |f_j(i) - e_j(i)| = \sum_{\substack{j \in m \\ j \neq s}} |f_j(i)| + |f_s(i)| - 1 \leq \|T\| - 1 < \eta.$$

That completes the proof.

**LEMMA 3.2.** *If the subspace  $E$  of  $l_n^\infty$  is isometrically isomorphic to  $l_m^\infty$  ( $m < n$ ), then there is a subspace  $F \supset E$  of  $l_n^\infty$  which is isometrically isomorphic to  $l_{m+1}^\infty$ .*

**Proof.** For  $j \in m$ , let  $e_j$  be the image of the  $j$ th unit vector under some fixed isometric isomorphism from  $l_m^\infty$  onto  $E$ . Therefore the elements  $e_j$  satisfy conditions (a), (b) and (c) of Lemma 2.3. Since  $m < n$ , (c) implies that either one of the sets  $N(e_j)$  ( $j \in m$ ) contains at least two indices, or there is an index  $i \in n$  which does not belong to any  $N(e_j)$ . In both cases it is easy to choose  $i_0 \in n$  such that the sets  $N(e_j) \setminus \{i_0\}$  are non-empty. Let  $F$  be the linear subspace of  $l_n^\infty$  spanned by elements  $f_j$  ( $j \in m + 1$ ), where

$$f_j = e_j - e_j(i_0)u_{i_0}^{(n)} \quad \text{for } j \in m,$$

$$f_{m+1} = u_{i_0}^{(n)}.$$

Define  $T: l_m^\infty \rightarrow l_n^\infty$  by  $T(x) = \sum_{j \in m} x(j)f_j$ . Then  $T$  satisfies conditions (a)–(c) of Lemma 2.3. (We have  $N(f_j) = N(e_j) \setminus \{i_0\}$  for  $j \in m$ , and  $N(f_{m+1}) = \{i_0\}$ .) Thus  $F$  is isometrically isomorphic to  $l_{m+1}^\infty$ . Finally  $F \supset E$  because  $e_j = f_j + e_j(i_0)f_{m+1}$  for  $j \in m$ .

REMARK 3.3. In the language of affine geometry, Lemma 3.2 can be restated as follows:

Let  $W$  be an  $n$ -dimensional parallelepiped in  $n$ -dimensional Euclidean space, and let the origin be the center of symmetry of  $W$ . Let  $L_m$  be an  $m$ -dimensional hyperplane passing through the origin and such that  $L_m \cap W$  is an  $m$ -dimensional parallelepiped. Then there is an  $(m + 1)$ -dimensional hyperplane  $L_{m+1}$  such that  $L_{m+1} \supset L_m$  and  $L_{m+1} \cap W$  is a parallelepiped.

Some interesting and far-reaching improvements of this result have recently been obtained by Perles.

#### 4. Proof of Theorem 1.1.

LEMMA 4.1. *Let  $B$  be a Banach space and let  $0 < \eta < 1/6$ . Then there is  $\sigma(\eta) = \sigma > 0$  such that, if  $Q: l_m^\infty \rightarrow B$  and  $R: l_n^\infty \rightarrow B$  are linear maps, and if*

$$(1 + \eta)^{-1} \|x\| \leq \|Qx\| \leq (1 + \eta) \|x\| \quad \text{for } x \in l_m^\infty,$$

$$(1 + \sigma)^{-1} \|y\| = \|Ry\| \leq (1 + \sigma) \|y\| \quad \text{for } y \in l_n^\infty,$$

$$d(Qx, Rl_n^\infty) < \sigma \|x\| \quad \text{for } 0 \neq x \in l_m^\infty,$$

then there is an isometric embedding  $S: l_m^\infty \rightarrow l_n^\infty$  such that  $\|RS - Q\| < 12\eta$ .

**Proof.** Choose  $\sigma \leq 1/8\eta$ . Let  $P: B \rightarrow Rl_n^\infty$  be the projection defined in 1.3. Then  $\|P\| \leq \|R\| \|R^{-1}\| \leq (1 + \sigma)^2 < 1 + 3\sigma$ . Fix  $x \in l_m^\infty$  and choose  $b$  in  $Rl_n^\infty$  such that  $\|Qx - b\| < \sigma \|x\|$ . Since  $Pb = b$ , we get

$$\|Qx - PQx\| \leq \|Qx - b\| + \|Pb - PQx\| \leq (1 + \|P\|)\sigma \|x\| \leq 4\sigma \|x\|.$$

Thus

$$\|PQx\| \geq \|Qx\| - 4\sigma \|x\| \geq [(1 + \eta)^{-1} - \frac{1}{2}\eta] \|x\| \geq (1 - \frac{3}{2}\eta) \|x\|.$$

Hence, using the inequalities  $8\sigma < \eta$  and  $0 < \eta < 1/4$ , we get

$$\|R^{-1}PQx\| \geq (1 + \sigma)^{-1} \|PQx\| \geq (1 - 2\eta) \|x\|.$$

Let us set

$$T = (1 - 2\eta)^{-1} R^{-1} PQ.$$

Then, since  $\|R^{-1}\| \|P\| \|Q\| \leq (1 + \sigma)^3(1 + \eta) < 1 + 2\eta$ ,

$$\|x\| \leq \|Tx\| \leq \frac{1 + 2\eta}{1 - 2\eta} \|x\| \leq (1 + 6\eta) \|x\|.$$

Thus, by Lemma 3.1, there is an isometric embedding  $S: l_n^\infty \rightarrow l_n^\infty$  such that  $\|S - T\| < 6\eta$ . Hence

$$\begin{aligned} \|RS - Q\| &\leq \|R\| \|S - T\| + \|RT - Q\| \\ &< (1 + \eta)6\eta + \|PQ - Q\| + \|PQ\| |1 - (1 - 2\eta)^{-1}| \\ &\leq 7\eta + 4\sigma + (1 + 3\sigma)(1 + \eta) \frac{2\eta}{1 - 2\eta} \leq 12\eta. \end{aligned}$$

That completes the proof.

**Proof of Theorem 1.1.**

(i)  $\rightarrow$  (ii). First observe that, if  $B$  has property  $(a^\infty)$ , then, for any finite dimensional subspace  $E$  of  $B$  and  $\eta > 0$ , there is a linear map  $T: l_n^\infty \rightarrow B$  such that  $(1 + \eta)^{-1} \|x\| \leq \|Tx\| \leq (1 + \eta) \|x\|$  for  $x \in l_n^\infty$  and  $d(e, Tl_n^\infty) < \eta \|e\|$  for  $0 \neq e \in E$ . To see that, let  $Z$  be an  $\eta/3$ -net for the unit sphere of  $E$ , and take  $T: l_n^\infty \rightarrow B$  as in the definition of  $(a^\infty)$  with  $\eta$  replaced by  $\eta/3$ .

Let  $(b_n)$  be a countable dense subset of  $B$ . By the preceding observation and by Lemma 4.1, we can inductively define, for  $v = 1, 2, \dots$ , linear maps  $T_v: l_{n_v}^\infty \rightarrow B$  and linear isometries  $S_v: l_{n_v}^\infty \rightarrow l_{n_{v+1}}^\infty$  such that

$$\begin{aligned} \|T_{v+1}S_v - T_v\| &< 12 \cdot 2^{-3v}, \\ \max(\|T_v\|, \|T_v^{-1}\|) &< 1 + 2^{-3v}, \end{aligned}$$

and

$$d(f, T_{v+1}l_{n_{v+1}}^\infty) < 2^{-3v} \|f\| \quad \text{for } f \in F_v,$$

where  $F_v$  is the smallest linear subspace which contains  $T_v l_{n_v}^\infty$  and the elements  $b_1, b_2, \dots, b_v$ .

For  $k = 1, 2, \dots$  and  $v = k + 1, k + 2, \dots$ , let

$$U_{v,k} = T_v \cdot S_{v-1} \cdot S_{v-2} \cdots S_k: l_{n_k}^\infty \rightarrow B.$$

Since all  $S_v$  are isometric embeddings,

$$\|U_{v+1,k} - U_{v,k}\| \leq \|T_{v+1}S_v - T_v\| < 12 \cdot 2^{-3v}.$$

Therefore, for all  $k$ , the sequence  $(U_{v,k})_{v=k+1}^\infty$  satisfies the Cauchy condition in the operator norm. Let us set

$$U_{\infty,k} = \lim_v U_{v,k}, E'_k = U_{\infty,k} l_{n_k}^\infty \quad (k = 1, 2, \dots).$$

Since the  $S_v$  are isometric embeddings and since  $\lim_v \|T_v\| = \lim_v \|T_v^{-1}\| = 1$ , we have

$$\lim_v \|U_{v,k}\| = \lim_v \|U_{v,k}^{-1}\| = \|U_{\infty,k}\| = \|U_{\infty,k}^{-1}\| = 1.$$

Hence the  $U_{\infty,k}$  are isometric embeddings, and the  $E'_k$  are isometrically isomorphic to  $l_{n_k}^\infty$  ( $k = 1, 2, \dots$ ). Clearly  $E'_k \subset E'_{k+1}$ , because

$$U_{v,k+1} l_{n_{k+1}}^\infty \supset U_{v,k} l_{n_k}^\infty \quad (k = 1, 2, \dots; v = k + 1, k + 2, \dots).$$

Finally we will show that  $\bigcup_{k=1}^\infty E'_k$  is dense in  $B$ . Take an arbitrary element  $b_m$  of the sequence  $(b_n)_{n=1}^\infty$ , fix  $k > m$ , choose  $x \in l_{n_k}^\infty$  such that  $\|T_k x - b_m\| \leq 2^{-3k} \|b_m\|$ , and put  $e = U_{\infty,k} x$ . Clearly

$$\|T_k x - U_{\infty,k} x\| = \lim_v \|T_k x - U_{v,k} x\|.$$

Furthermore

$$\begin{aligned} \|T_k x - U_{v,k} x\| &\leq \|T_k x - U_{k+1,k} x\| + \sum_{j=1}^{v-k-1} \|(U_{k+j+1,k} - U_{k+j,k}) x\| \\ &\leq \sum_{i=k}^{v-1} 12 \cdot 2^{-3i} \|x\| \leq \sum_{i=k}^\infty 12 \cdot 2^{-3i} \|x\|. \end{aligned}$$

By the definition of  $x$ ,

$$\|x\| \leq \|T_k^{-1}\| \cdot \|T_k x\| \leq \|T_k^{-1}\| (1 + 2^{-3k}) \|b_m\|.$$

Hence

$$\begin{aligned} \|b_m - e\| &\leq \|T_k x - b_m\| + \|T_k x - U_{\infty,k} x\| \\ &\leq 2^{-3k} \|b_m\| + \|T_k^{-1}\| (2^{-3k} + 1) \sum_{i=k}^\infty 12 \cdot 3^{-i} \|b_m\|. \end{aligned}$$

Since  $\lim_k \|T_k^{-1}\| = 1$ , the last inequality implies  $\lim_k d(b_m, E'_k) = 0$ . Since the sequence  $(b_n)$  is dense in  $B$ , this completes the proof that the union  $\bigcup_{k=1}^\infty E'_k$  is dense in  $B$ .

(ii)  $\rightarrow$  (iii). If  $B$  is a separable  $\pi_1^\infty$  space, then there is an increasing sequence  $(E'_n)_{n=1}^\infty$  of subspaces of  $B$  such that  $\bigcup_{n=1}^\infty E'_n$  is dense in  $B$ , and  $E'_k$  is isometrically isomorphic to  $l_{n_k}^\infty$  for some  $n_k$  ( $k = 1, 2, \dots$ ). (Indeed, let  $E_\alpha$  be as in the definition of a  $\pi_1^\infty$ -space, and let  $\{b_n\}_{n=1}^\infty$  be a dense subset of  $B$ . By induction, choose  $E'_n = E_{\alpha_n}$  such that  $d(b_m, E'_n) < n^{-1}$  for  $m = 1, \dots, n$ , and  $E'_n \supset E'_{n-1}$ .) Therefore, to complete the proof of the implication (ii)  $\rightarrow$  (iii), it is enough "fill in the gaps", i.e. to show that, if  $k = 1, 2, \dots$  and  $n_{k+1} - n_k > 1$ , then there is a chain of subspaces  $E'_k = F_0 \subset F_1 \subset \dots \subset F_{n_{k+1} - n_k} = E'_{k+1}$  such that  $F_v$  is isometrically isomorphic to

$l_{n_k+v}^\infty$  ( $v = 0, 1, 2, \dots, n_{k+1} - n_k$ ). But the existence of such a chain of subspaces follows immediately from Lemma 3.2.

(iii)  $\rightarrow$  (i). This implication is trivial.

5. **A refinement of Theorem 1.1 for  $B = C(S)$ .** In the case of a  $C(S)$  space,  $S$  compact metric, one can prove a slightly stronger result than Theorem 1.1 (cf. Corollary 5.2 below). We recall that a finite-dimensional subspace  $E$  of  $C(S)$  is called a *peaked partition subspace* provided it is spanned by a *peaked partition of unity*, i.e. by non-negative functions  $f_1, f_2, \dots, f_n$  such that  $\sum f_i = \mathbf{1}$  and  $\|f_i\| = 1$  ( $i = 1, 2, \dots, n$ ), where  $\mathbf{1}$  denotes the function which is identically one on  $S$ .

**PROPOSITION 5.1.** *Let  $E$  be a linear subspace of  $C(S)$ . Then the following conditions are equivalent.*

(o)  $E$  is a peaked partition subspace.

(oo)  $E$  is isometrically isomorphic to  $l_n^\infty$  for some  $n$ , and  $\mathbf{1} \in E$ .

**Proof.** (o)  $\rightarrow$  (oo). This implication is well known (cf. e.g. [9], [11]).

(oo)  $\rightarrow$  (o). Let  $f'_k \in E$  correspond under some isometric isomorphism to the  $k$ -th unit vector  $u_k^{(n)}$  of  $l_n^\infty$  for  $k \in n$ . Then clearly  $\|f'_k\| = 1$ . Let us set  $f_k = [f'_k(s_k)]^{-1} f'_k$ , where  $s_k \in S$  is chosen in such a way that  $|f'_k(s_k)| = 1$ . Clearly (cf. Lemma 2.3 (c))  $f_k(s_l) = 0$  for  $l \neq k$ ,  $l \in n$ . Since  $\mathbf{1} \in E$ , there are scalars  $(t_k^0)_{k \in n}$  such that  $\mathbf{1} = \sum_{k=1}^n t_k^0 f_k$ . Thus  $\mathbf{1} = \mathbf{1}(s_l) = \sum_{k=1}^n t_k^0 f_k(s_l) = t_l^0$  for  $l \in n$ . Thus  $\sum_{k=1}^n f_k(s) = 1$  for  $s \in S$ . Since  $\|\sum_{k=1}^n t_k f_k\| = \|\sum_{k=1}^n t_k f'_k\| = \max_{k \in n} |t_k|$  for arbitrary scalars  $t_1, t_2, \dots, t_n$ , we get  $\sum_{k=1}^n |f_k(s)| \leq 1$  for  $s \in S$ . Thus all  $f_k$  are non-negative. Hence  $\{f_1, f_2, \dots, f_n\}$  is a peaked partition of unity.

Combining Proposition 5.1 with the main result of [9] and Lemma 3.2 (cf. the proof of implication (ii)  $\rightarrow$  (iii)) we get

**COROLLARY 5.2.** *Let  $E$  be an  $m$ -dimensional peaked partition subspace in  $C(S)$ ,  $S$  compact metric. Then there exists an increasing sequence of peaked partition subspaces  $E_1 \subset E_2 \subset \dots$  of  $C(S)$ , whose union is dense in  $C(S)$ , such that  $\dim E_n = n$  for all  $n$  and  $E_m = E$ .*

6. **Monotone bases in separable  $\pi_1^\infty$ -spaces.** We recall (cf. [3, p. 67]) that a sequence  $(e_n)_{n=1}^\infty$  is called a (*monotone*) *basis* for a Banach space  $B$  provided each  $b$  in  $B$  has a unique expansion  $b = \sum_{i=1}^\infty t_i e_i$  (and  $\|b\| \geq \|\sum_{i=1}^n t_i e_i\|$  for  $n = 1, 2, \dots$ ). If  $(e_n)_{n=1}^\infty$  is a monotone basis for  $B$ , then the operator  $P_n b = \sum_{i=1}^n t_i e_i$ , for  $b = \sum_{i=1}^\infty t_i e_i \in B$ , is a projection of norm one from  $B$  onto the subspace  $E_n$  spanned by  $e_1, e_2, \dots, e_n$ . Therefore the existence of a monotone basis in  $B$  implies the existence of projections  $P_n: B \rightarrow E_n$  such that

( $\alpha$ )  $\|P_n\| = 1$ ,

( $\beta$ ) each range  $P_n B = E_n$  is an  $n$ -dimensional subspace of  $B$ ,

( $\gamma$ )  $E_n \subset E_{n+1}$  ( $n = 1, 2, \dots$ ),

( $\delta$ )  $E = \bigcup_{n=1}^\infty E_n$  is dense in  $B$ .

Conversely, the following observation is due to S. Mazur (cf. Bessaga [2]).

**PROPOSITION 6.1.** *If in Banach space  $B$  there exists a sequence of projections  $(P_n)_{n=1}^\infty$  satisfying conditions  $(\alpha)$ – $(\delta)$ , then  $B$  has a monotone basis.*

**Proof.** Define  $(e_n)_{n=1}^\infty$  inductively such that  $\|e_n\| = 1$  and  $e_n \in E_n \cap \ker P_{n-1}$  for  $n = 1, 2, \dots$ . (For convenience, we set  $P_0 = 0$ .) Since the range of  $P_{n-1}$  is  $(n-1)$ -dimensional, the kernel  $\text{Ker } P_{n-1}$  has codimension  $= n-1$ . Therefore the intersection  $E_n \cap \text{Ker } P_{n-1}$  is non-empty. To prove that  $(e_n)_{n=1}^\infty$  is a monotone basis for  $B$ , observe first that, since  $\|P_n\| = 1$ ,  $e_{n+1} \in \text{Ker } P_n$  and  $e_\nu \in E_n$  for  $\nu = 1, 2, \dots, n$ .

$$\left\| \sum_{\nu=1}^{n+1} t_\nu e_\nu \right\| \geq \left\| P_n \left( \sum_{\nu=1}^{n+1} t_\nu e_\nu \right) \right\| = \left\| \sum_{\nu=1}^n t_\nu e_\nu \right\|$$

for arbitrary scalars  $t_1, t_2, \dots, t_{n+1}$ . Thus by induction  $\left\| \sum_{\nu=1}^{n+m} t_\nu e_\nu \right\| \geq \left\| \sum_{\nu=1}^n t_\nu e_\nu \right\|$  for arbitrary scalars  $t_1, t_2, \dots, t_{n+m}$  ( $n, m = 1, 2, \dots$ ). But the last inequality, together with  $(\delta)$ , implies that  $(e_n)_{n=1}^\infty$  is a monotone basis for  $B$ . (c. f. [10])

**Proof of Corollary 1.2.** This follows from Theorem 1.1, Lemma 2.1 and Proposition 6.1.

It follows from a result of Lindenstrauss [7] that, if  $B$  is a  $\pi_1^\infty$ -space and if  $E$  is the range of a projection of norm one from  $B$  with  $\dim E = n < +\infty$ , then  $E$  is isometrically isomorphic to  $I_n^\infty(*)$ . Hence we can complete Corollary 1.2 as follows:

**COROLLARY 6.2.** *Let  $B$  together with a sequence of subspaces  $(E_n)$  satisfy condition (iii) of Theorem 1.1. Then there exists in  $B$  a monotone basis  $(e_n)_{n=1}^\infty$  such that  $\{e_1, e_2, \dots, e_n\}$  spans  $E_n$  ( $n = 1, 2, \dots$ ). Conversely, if  $(e_n)_{n=1}^\infty$  is a monotone basis for a  $\pi_1^\infty$ -space  $B$ , then  $B$  and the subspaces  $E_n$  spanned by  $\{e_1, e_2, \dots, e_n\}$  satisfy condition (iii) of Theorem 1.1.*

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(\*) Indeed, if  $B$  is a  $\pi_1^\infty$ -space, then, by Corollary 1 of [7, p. 66],  $B$  satisfies conditions (1)–(13) of Theorem 6.1 of [7, p. 62]. Since  $E$  is the range of a projection of norm one from  $B$ , the subspace  $E$  also satisfies the same conditions. In particular,  $E^{**}$  is a  $\mathcal{P}_1$ -space. Since  $E$  is finite-dimensional,  $E^{**} = E$ . Thus  $E$  is isometrically isomorphic to  $I_n^\infty$ .

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